BEST AVAILABLE COPY



PATENT

THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants:

Shobu et al.

Examiner:

Unassigned

Serial No.:

10/721,555

Group Art Unit:

1755

Confirmation No.:

5837

Docket:

105-78

Filed:

November 25, 2003

Dated:

September 16, 2004

For:

Aqueous Shellac Coating Agent and Production Process Therefor, and Coated Food and Production Process Therefor, Coated Drug and Production Process Therefor, Glazing composition for Oil-Based Confectionary, Glazing Process, and Glazed Oil-Based Confectionary Using Same

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

I hereby certify this correspondence is being deposited with the United States Postal Service as first class mail, postpaid in an envelope, addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on

Date: September 16, 2004

Signed:

REQUEST TO CORRECT INVENTORSHIP UNDER 37 C.F.R §1.48(a)

Sir:

Please add the following previously unnamed persons as co-inventors of the abovereferenced patent application.

Name:

Keizou Mochizuki

Residence:

12-20, Hinode-cho, Sakado-shi, Saitama-ken, Japan

Post Office Address: Same Citizenship:

Japan

Name:

Hidejiro Hara

Residence:

c/o Freund Corporation, 8-1, Nishishinjuku, 6-chome,

Shinjuku-ku, Tokyo, Japan

Post Office Address:

Same

Citizenship:

Japan

Name:

Kazumi Yamada

Residence:

c/o Freund Chemical Co., Ltd., 2-10, Numakage 2-chome,

Saitama-shi, Saitama-ken, Japan

Post Office Address: Same

Citizenship:

Japan

09/21/2004 WABDELR1 00000001 10721555

Applicants: Shobu et al.

Application Serial No.: 10/721,555 Filing Date: November 25, 2003

Docket No.: 105-78

Page 2

Enclosed herewith pursuant to 37 C.F.R. § 1.48(a) are the following:

- (a) Statements from the persons being added as inventors;
- (b) A newly executed Declaration signed by each of the actual inventors as required by 37 C.F.R. § 1.63;
- (c) Written consent of the assignee with certification under 37 C.F.R. § 3.73(b);
- (d) A copy of the new Assignment signed by the added inventors;
- (e) A copy of change of name of Assignee; and
- (f) Fee payment under 37 C.F.R. § 1.17(i) (A check for \$130.00).

Respectfully submitted,

anend

Ames F. Harrington Registration No.: 44,741 Attorney for Applicants

HOFFMANN & BARON, LLP 6900 Jericho Turnpike Syosset, New York 11791 (516) 822-3550 JFH/jp



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants:

Shobu et al.

Examiner:

Unassigned

Serial No.:

10/721,555

Group Art Unit:

1755

Confirmation No.:

5837

Docket:

105-78

Filed:

November 25, 2003

Dated:

May 24, 2004

For:

Aqueous Shellac Coating Agent and Production Process Therefor, and Coated Food and Production Process Therefor, Coated Drug and Production Process Therefor, Glazing composition for Oil-Based Confectionary, Glazing Process, and Glazed Oil-Based Confectionary Using Same

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1450 on Date:

Signed:

Dept 16, 2004

STATEMENT FROM PERSON BEING ADDED AS INVENTOR

Sir:

- I, Keizou Mochizuki, declare and say:
- 1. I am an inventor in the above-identified patent application;
- 2. Through error, I was not named as an inventor in the above-identified application;
- 3. The error in inventorship occurred without deceptive intent on my part.

I HEREBY DECLARE that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true. Further, that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment or both under Section 1001 of Title 18 of the

Applicants: Shobu et al.

Application Serial No.: 10/721,555 Filing Date: November 25, 2003

Docket No.: 105-78

Page 2 of 2

United States Code, and such willful false statements may jeopardize the validity of the application and any patent issued thereon.

September 7, 2004

Date

Keizou Mochizuki



PATENT

THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants:

Shobu et al.

Examiner:

Unassigned

Serial No .:

10/721,555

Group Art Unit:

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1450 on Date:

Signed:

Jegg 10, 2004

STATEMENT FROM PERSON BEING ADDED AS INVENTOR

Sir:

- I, Hidejiro Hara, declare and say:
- 1. I am an inventor in the above-identified patent application;
- 2. Through error, I was not named as an inventor in the above-identified application;
- 3. The error in inventorship occurred without deceptive intent on my part.

I HEREBY DECLARE that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true. Further, that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment or both under Section 1001 of Title 18 of the

Applicants: Shobu et al.

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Filing Date: November 25, 2003
Docket No.: 105-78

Page 2 of 2

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September 7, 2004

Date

Hidegino Hara
Hidejiro Hara



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants:

Shobu et al.

Examiner:

Unassigned

Serial No.:

10/721,555

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1450 on Date:

Signed:

STATEMENT FROM PERSON BEING ADDED AS INVENTOR

Sir:

- I, Kazumi Yamada, declare and say:
- 1. I am an inventor in the above-identified patent application;
- 2. Through error, I was not named as an inventor in the above-identified application;
- 3. The error in inventorship occurred without deceptive intent on my part.

I HEREBY DECLARE that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true. Further, that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment or both under Section 1001 of Title 18 of the

Applicants: Shobu et al.

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United States Code, and such willful false statements may jeopardize the validity of the application and any patent issued thereon.

September 7, 2004

Date

KaZUMi Yamada Kazumi Yamada



Attorney's Docket No. 105-78

PATENT

COMBINED DECLARATION AND POWER OF ATTORNEY

(ORIGINAL, DESIGN, NATIONAL STAGE OF PCT, SUPPLEMENTAL, DIVISIONAL, CONTINUATION OR CIP)

As a below named inventor, I hereby declare that:

TYPE OF DECLARATION

☐ Original	•	National Stage PCT
Supplemental		□ Divisional
☐ Design	:	☐ Continuation
		☐ Continuation-in-Part (CIP)

INVENTORSHIP IDENTIFICATION

NOTE:

If the inventors are each not the inventors of all the claims an explanation of the facts, including the ownership of all the claims at the time the last claimed invention was made, should be submitted.

My residence, post office address and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

Aqueous Shellac Coating Agent and Production Process Therefor, and Coated Food and Production Process
Therefor, Coated Drug and Production Process Therefor, Glazing Composition for Oil-Based Confectionary, Glazing
Process, and Glazed Oil-Based Confectionary Using Same

the specification of which: (complete (a), (b) or (c))

(a)	is attached hereto.
(b)	 ✓ was filed on November 25, 2003 as ✓ Serial No. 10/721,555 or ✓ Express Mail No, as Serial No. not yet known and was amended on (If applicable)
(c)	was described and claimed in PCT International Application No. I filed on and as amended under PCT Article 19 on

ACKNOWLEDGMENT OF REVIEW OF PAPERS AND DUTY OF CANDOR

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above, and that the filling of said specification, if heretofore filed, was authorized by me.

I acknowledge the duty to disclose information which is material to the patentability of this application in accordance with Title 37, Code of Federal Regulations, §1.56(a).



CLAIM OF PRIORITY OF EARLIER FOREIGN APPLICATION(S) UNDER 35 U.S.C. §119(a)-(d)

I hereby claim foreign priority benefits under Title 35, United States Code, §119 of any foreign application(s) for patent or inventor's certificate or of any PCT international application(s) designating at least one country other than the United States of America listed below and have also identified below any foreign application(s) for patent or inventor's certificate or any PCT international application(s) designating at least one country other than the United States of America filed by me on the same subject matter having a filing date before that of the application(s) of which priority is claimed:

(List prior foreign/PCT application(s) filed within 12 months (6 months for design) prior to this U.S. application.)

NOTE: Where item (c) is entered above and the International Application which designated the U.S. claimed priority check item (e), enter the details below and make the priority claim.

COUNTRY (orPCT)	APPLICATION NO.	DATE OF FILING (Day/Month/Year)	PRIORITY UNDER 35	
Japan	2002-347465	29/11/2002	⊠ YES	□ №
Japan	2003-176333	20/06/2003	☑ YES	□ №
Japan	2003-293002	13/08/2003	⊠ YES	□ №
Japan	2003-318500	10/09/2003		□ NO

CLAIM FOR BENEFIT OF PRIOR U.S. PROVISIONAL APPLICATION(S) UNDER 35 U.S.C. §119(e)

I hereby claim the benefit under Title 35, United States Code, §119(e) of any United States provisional application(s) listed below:

(List prior U.S. provisional applications.)

PROVISIONAL APPLICATION NO.	FILING DATE (Day/Month/Year)
60/436,794	27/12/2002

CLAIM FOR BENEFIT OF EARLIER U.S./PCT APPLICATION(S) UNDER 35 U.S.C. 120

I hereby claim the benefit under Title 35, United States Code, §120 of any United States application(s) or PCT international application(s) designating the United States of America that is/are listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in such prior application(s) in the manner provided by the first paragraph of Title 35, United States Code, §112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, §1.56(a) which occurred between the filing date of the prior application(s) and the national or PCT international filing date of this application:

(List prior U.S. applications or PCT international applications designating the U.S. for benefit under 35 U.S.C. §120.)

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U.S. APPI	LICATIONS	•	ST	ATUS (Check C	One)
U.S. SERIAL NO.	U.S. FILING DATE (Day/Month/Year)		Patented	Pending	Abandoned
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PCT APP	LICATIONS DESIGNAT	TING THE U.S.	ST	ATUS (Check C	One)
PCT APPLN. NO.	PCT FILING DATE (Day/Month/Year)	U.S. SERIAL NOS ASSIGNED (If any)	Patented	Pending	Abandoned
PCT/					
PCT/					
35 US	SC 119 PRIORITY CLAI	IM, IF ANY, FOR ABOVE LI	STED U.S./PCT	APPLICATIO	ONS
PRIORITY APPLICATION NO	PRIORITY . COUNTRY	FILING DATE (Day/Month/Year)		SSUE DATE Day/Month/Year)	
	•	1			

POWER OF ATTORNEY

As a named inventor, I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and transact all business in the Patent and Trademark Office in connection therewith:

Charles R. Hoffmann, Reg. No. 24,102; Ronald J. Baron, Reg. No. 29,281; Alan M. Sack, Reg. No. 31,874; A. Thomas Kammer, Reg. No. 28,226; R. Glenn Schroeder, Reg. No. 34,720; Glenn T. Henneberger, Reg. No. 36,074; Irving N. Feit, Reg. No. 28,601; Anthony E. Bennett, Reg. No. 40,910; Gregory W. Bachmann, Reg. No. 41,593; Steven T. Zuschlag, Reg. No. 43,309; Susan A. Sipos, Reg. No. 43,128; Kevin E. McDermott, Reg. No. 35,946; Rod S. Turner, Reg. No. 38,639; Robert C. Morriss, Reg. No. 42,910; Justin K. Holmes, Reg. No. 42,666; Lauren T. Emr, Reg. No. 46,139; Edna I. Gergel, Reg. No. 50,819; James F. Harrington, Reg. No. 44,741; Velly B. Polycarpe, Reg. No. 48,856, Edmund Gutierrez, Reg. No. 52,979 and Betsy Kingsbury Dowd, Reg. No. 52,830, each of them of HOFFMANN & BARON, LLP, 6900 Jericho Turnpike, Syosset, New York 11791; and Daniel A. Scola, Jr., Reg. No. 29,855; Salvatore J. Abbruzzese, Reg. No. 30,152; John S. Sopko, Reg. No. 41,321; Gloria K. Szakiel, Reg. No. 45,149; Ludomir A. Budzyn, Reg. No. 40,540; Christina L. Warrick, Reg. No. 45,690; Rohini K. Garg, Reg. No. 45,272; Joy I. Farber, Reg. No. 44,103; Anna-Lisa Gallo, Reg. No. 50,279; Stephen Cannavale, Reg. No. 53,773 and John X. Haberman, Reg. No. 55,236, each of them of HOFFMANN & BARON, LLP, 1055 Parsippany Boulevard, Parsippany, New Jersey 07054.



PLEASE SEND CORRESPONDENCE TO:

Charles R. Hoffmann, Esq. HOFFMANN & BARON, LLP 6900 Jericho Turnpike Syosset, NY 11791 PLEASE DIRECT TELEPHONE CALLS TO:

James F. Harrington, Esq.

(516) 822-3550

DECLARATION

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further, that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

SIGNATURE(S)

Full Name of Sole or First Inventor:	Tomoyuki Shobu
Country of Citizenship:	Japan
Residence Address:	Hamamatsu-shi, Japan
Post Office Address:	c/o Freund Corporation, Hamamatsu Office, 2-2, Shinmiyakoda 1-chome, Hamamatsu-shi, Shizuoka-ken, Japan
Date: September 7, 2004	Inventor's signature Tomoyuki Shobu
Full Name of Second Joint Inventor:	Kazuo Igusa
Country of Citizenship:	Japan
Residence Address:	Tokyo, Japan
Post Office Address:	c/o Freund Corporation, Hamamatsu Office, 2-2, Shinmiyakoda 1-chome, Hamamatsu-shi, Shizuoka-ken, Japan
Date: September 7, 2004	Inventor's signature Rague Jansu
Full Name of Third Joint Inventor:	Toshichika Ogasawara
Country of Citizenship:	Japan
Residence Address:	Tokyo, Japan
Post Office Address:	c/o Freund Corporation, Hamamatsu Office, 2-2, Shinmiyakoda 1-chome, Hamamatsu-shi, Shizuoka-ken, Japan
Date: September 7, 2004	Inventor's signature Toshiohik Ogasawaru

Full Name of Fourth Joint Inventor:	Keizou Mochizuki
Country of Citizenship:	Japan
Residence Address:	Sakado-shi, Japan
Post Office Address:	12-20, Hinode-cho, Sakado-shi, Saitama-ken, Japan
Date: September 7, 2004	Inventor's signature Keizau Mochizuke'
Full Name of Fifth Joint Inventor:	Hidejiro Hara
Country of Citizenship:	Japan
Residence Address:	Tokyo, Japan
Post Office Address:	c/o Freund Corporation, 8-1, Nishishinjuku 6-chome, Shinjuku-ku, Tokyo, Japan
Date: September 7, 2004	Inventor's signature Hidigro Idana
Full Name of Sixth Joint Inventor:	Kazumi Yamada
Country of Citizenship:	Japan
Residence Address:	Saitama-shi, Japan
Post Office Address:	c/o Freund Chemical Co., Ltd., 2-10, Numakage 2-chome, Saitama-shi, Saitama-ken, Japan
Date: Srptember 7, 2004	Inventor's signature Ka,711M1 YaMaDa

NOTE: All above spaces identifying inventors must be completed or deleted before any inventor executes this application



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants:

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Examiner:

Unassigned

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1450 on

Date:_

Signed.

CONSENT OF ASSIGNEE TO CORRECTION OF ORIGINAL NAMED INVENTORS AND CERTIFICATION UNDER 37 C.F.R. § 3.73(b)

Assignee:

Freund Industrial Co., Ltd. 14-2, Takadanobaba 2-chome Shinjuku-ku, Tokyo, Japan

Assignment:

Executed on November 18, 2003

A copy is attached.

Assignee hereby consents to the correction of inventorship filed herewith.

ASSIGNEE CERTIFICATION

I, the undersigned, have reviewed all documents related to the title of this patent application, and to the best of my knowledge and belief, title remains in the assignee identified above.

Applicants: Shobu et al.

Application Serial No.: 10/721,555 Filing Date: November 25, 2003

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Page 2 of 2

ASSIGNEE CERTIFICATION

I, the undersigned, have reviewed all documents related to the title of this patent application, and to the best of my knowledge and belief, title remains in the assignee identified above.

I hereby declare that all statements made herein of my own knowledge are true, and that all statements made on information and belief are believed to be true and further, that these statements are made with the knowledge that willful false statements, and the like so made, are punishable by fine or imprisonment, or both, under Section 1001, Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

September 7, 2004

Date

Directors

By. Yasutoyo Fusejima/

Title: Chairman of the Board of

Freund Corporation

SEP 20 2000 SEP

PTO-1595 (Modified) 03-01) 40. 0651-0027 (exp.5/31/2002) REV03 CORDATION FORM COVER SHEET

U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office

et No.: 105-78

PATENTS ONLY

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To the Director of	of the United	States Pa	tent and Trademark	Office: P	lease reco	rd the attached o	riginal docume	nts or cor	by thereof.
lame of conveyin . Tomoyuki Shob):		2.	Name an	d address of re	ceiving party(ies):	
. Kazuo Igusa				}	Name: F	reund Industria	l Co., Ltd.		
. Toshichika Oga	sawara				Address:	14-2, Takadan	obaba 2-chom	1e, Shinju	ku-ku
ditional names(s) of c	onveying par	ty(ies)	☐ Yes ☒ No	-					
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Assignment			Merger	-					(6)
Security Agreer	nent		Change of Name		City: Tok	yo	Sta	ate/Prov.:	
Other					Country:	Japan	ZIF):	
ecution Date: No	vember 18,	2003		A	dditional nan	ne(s) & address(es) 🗆	Yes	⊠ No
pplication numbe	r(s) or pate	ent numbe	ers(s):						
this document is	being filed	together	with a new applicat	tion, the	execution	date of the app	olication is:	Novem	ber 18, 20
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•									•
·			Additional_numbe	ers.	☐ Yes	□ No			
ame and address oncerning docum				6.	Total num	ber of application	ons and pater	nts involv	ed: 1
ame: Charles R.	Hoffmann	, Esq.		7	Total fac ((27.050.2.44).			
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ames F. Harringto	n		lan	med.	Harris	uter	//-0	V-0	3
Name of P	erson Sign	ing		5	Signature		2	Date	
		Total no	umber of pages includin	ng cover s	heet, attachr	ments, and			

USA Patent Appln. Sole or Joint

ASSIGNMENT

Tomoyuki Shobu

In consideration of the sum of Ten Dollars (\$10.00) and other good and valuable consideration paid to each of the undersigned, to wit:

Insert Name(s)	(2) Kazuo Igusa		(6)
of Inventor(s)	(3) Toshichika O	gasawara	(7)
,	(4)		[] x box if continued on back
Insert Name	at the request of, here	iency of which are hereby eby sell(s), assign(s) and to RIAL CO., LTD.	acknowledged by the undersigned who ransfer(s) unto:
of Assignee and Address	14-2, Takadan	obaba 2-chome, Shinji	uku-ku, Tokyo, Japan
Title of Invention NOTE ->->-> (use only when appln. signed on same date) Please sign	States of America as a AQUEOUS SHELLAC COAT FOOD AND PRODUCTION THEREFOR, GLAZING CO for which an application executed even date he continuations, substituted undersigned hereby at Patents and Trademar interest as ASSIGNEE undersigned agree(s) on behalf of sald ASSIGNEE, its success ASSIGNEE to ASSIGNEE to ASSIGNEE.	defined in 35 U.S.C. 100 in TING AGENT AND PRODUCE PROCESS THEREFOR, CONTROLO FOR OIL-BARD for Letters Patent of the erewith by the undersigned the state of the	right, title and interest for the United in and to the invention known as CTION PROCESS THEREFOR, AND COATED DRUG AND PRODUCTION PROCESS ASED CONFECTIONARY, GLAZING PROCESS, a United States of America has been AND d, and in and to any and all divisions GLAZED and all resulting patents; and the OIL-BAS the United States Commissioner OUSING SAM atent to the said ASSIGNEE, for its and legal representatives; the rd in said application shall hereafter act to testify and execute any papers for presentatives, deemed essential by the in and to the Invention hereby
concurrently with Declaration	. Signed on the date(s)	indicated beside our signa	atures.
Name: To	iki Shobu	DATE SIGNED November 18, 2003	<u>WITNESS(ES)</u> <u>Takeshi Hom-ma</u>
Name: Ka	zuo Igusa	November 18, 2003	Joshihiko Sambe Joshihiko Sambe
3) <u>Joshich</u> Name: Tos	ika Ugasawara shichika Ogasawara	November 18, 2003	Joshihleo Sambe
4) Name:			
5)		• .	
Name: .6)			
Name: 7)			
Name			



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Director U.S. Patent and Trademark Office Mail Stop Assignment Recordation Services P.O. Box 1450

Alexandria, VA 22313-1450

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on September 16, 2004

Signature:

Veleson

REQUEST FOR RECORDATION OF ASSIGNMENT DOCUMENT

Sir:

Enclosed herewith is an executed Assignment and a Recordation Form Cover Sheet regarding the above-identified patent application. A check for the required recordation fee of \$40.00 is also enclosed. Please record the enclosed document accordingly and return it to the address set forth below once recordation has been completed.

Respectfully submitted,

Xames F. Harrington Registration No.: 44,741

Attorney for Applicants

HOFFMANN & BARON, LLP 6900 Jericho Tumpike Syosset, New York 11791 (516) 822-3550 JFH/jp

ORM PTO-1595 (Modified) OMB No. 0651-0027 (exp.5/31/2002) P08/REV03

RECORDATION FORM COVER SHEET

U.S.

Docket No.: 105-78

PATENTS ONLY

DEPARTMENT	OF	COMM	ERCE
Patent and	Tra	demark	Office

Tab settings -To the Director of the United States Patent and Trademark Office: Please record the attached original documents or copy thereof. Name of conveying party(ies): 2. Name and address of receiving party(ies): Keizou Mochizuki Hidejiro Hara Name: Freund Corporation Kazumi Yamada Internal Address: 8-1, Nishishinjuku 6-chome, Shinjuku-ku Additional names(s) of conveying party(ies) ☐ Yes ☒ No Tokyo, Japan 3. Nature of conveyance: Merger Street Address: ☐ Security Agreement Change of Name ____ State: ____ ZIP: _ Other ___ Execution Date: September 7, 2004 Application number(s) or patent numbers(s): If this document is being filed together with a new application, the execution date of the application is: B. Patent No.(s) A. Patent Application No.(s) 10/721,555 Additional numbers attached? ☐ Yes ⊠ No 5. Name and address of party to whom correspondence 6. Total number of applications and patents involved: concerning document should be mailed: Name: Charles R. Hoffmann, Esq. 7. Total fee (37 CFR 3.41):....\$ 40.00 Internal Address: HOFFMANN & BARON, LLP Enclosed - Any excess or insufficiency should be credited or debited to deposit account Authorized to be charged to deposit account 8. Deposit account number: Street Address: 6900 Jericho Turnpike 08-2461 City: Syosset State: NY (Attach duplicate copy of this page if paying by deposit account) DO NOT USE THIS SPACE 9. Statement and signature. To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy

of the original document.

Name of Person Signing Total number of pages including cover sheet, attachments, and document: Date

Attorney's Docket No.: 105-78

PATENT

For:

U.S. and/or
Foreign Rights

For:
U.S. Application;

U.S. Provisional Application;

U.S. Patent; or

PCT Application

By:
Inventors or
Present Owners

ASSIGNMENT OF INVENTION

In consideration of the payment by ASSIGNEE to ASSIGNOR of the sum of One Dollar (\$1.00), the receipt of which is hereby acknowledged, and for other good and valuable consideration,

ASSIGNORS: (inventor(s) or person(s) or entity(ies) who own the invention)

Keizou Mochizuki	Hidejiro Hara	Kazumi Yamada
Name	Name	Name
12-20, Hinode-cho, Sakado-shi	c/o Freund Corporation, 8-1 Nishishinjuku, 6-chome, Shinjuku-ku	c/o Freund Chemical Co., Ltd., 2 10, Numakage, 2-chome, Saitama-shi
Address	Address	Address
Saitama-ken, Japan	Tokyo, Japan	Saitama-ken, Japan
Japan	Japan	Japan
Nationality	Nationality	Nationality
Recorded on hereby sells, assigns and tra ASSIGNEE:	Reel Frame ansfers to Freund Corporation (Type or print name of ASSIGNEE)	
	Address Tokyo, Japan Japan Nationality	home, Shinjuku-ku

and the successors, assigns and legal representatives of the ASSIGNEE,
(complete one of the following)
the entire right, title and interest an undivided percent (%) interest
for the United States and its territorial possessions
and in all foreign countries, including all rights to claim priority,
in and to any and all improvements which are disclosed in the invention entitled: AQUEOUS SHELLAC COATING AGENT AND PRODUCTION PROCESS THEREFOR, AND COATED FOOD AND PRODUCTION PROCESS THEREFOR, COATED DRUG AND PRODUCTION PROCESS THEREFOR, GLAZING COMPOSITION FOR OIL-BASED CONFECTIONARY, GLAZING PROCESS, AND GLAZED OIL-BASED CONFECTIONARY USING SAME
and which is found in
(check and complete (a), (b), (c), (d), (e), (f), or (g))
(a) U.S. patent application executed on even date herewith (b) U.S. patent application executed on
maintenance fees is being sent separately. (g) and any legal equivalent thereof in a foreign country, including the right to claim priority
and, in and to, all Letters Patent to be obtained for said invention by the above application or any continuation, division, renewal, or substitute thereof, and as to Letters Patent any re-issue or re-examination thereof.
ASSIGNOR hereby covenants that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment. ASSIGNOR further covenants that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNOR and will testify as to the

and legal equivalents as may be known and accessible to ASSIGNOR and will testify as to the same in any interference, litigation or proceeding related thereto and will promptly execute and

deliver to ASSIGNEE or its legal representatives any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue and enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof.

IN WITNESS WHEREOF, I/We have hereunto set hand and seal this

above.	WARNING: Date of signing must be the san	ne as the date of execution of the application if item (a) was checked
Date:	X September 7, 2004 Date of signing	X Kesson Mochizuki Keizou Mochizuki
Date:	X September 7, 2004 Date of signing	X Hidejiro. Hana Hidejiro Hara
Date:	X September 7, 2004 Date of signing	X KaZUMi Yamada Kazumi Yamada
٠		
NOTE:	No witnessing, notarization or legalization is ne prima facie evidence of execution 35 USC 26	ecessary. If the assignment is notarized or legalized then it will only be 1. Use next page if notarization is desired.
		Notarization or Legalization Page Added.



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants:

Shobu et al.

Examiner:

Unassigned

Serial No.:

10/721,555

Group Art Unit:

1755

Confirmation No.:

5837

Docket:

105-78

Filed:

November 25, 2003

Dated:

September 16, 2004

For:

Aqueous Shellac Coating Agent and Production Process Therefor, and Coated Food and Production Process Therefor, Coated Drug and Production Process Therefor, Glazing composition for Oil-Based Confectionary, Glazing Process, and Glazed Oil-Based Confectionary Using Same

Director U.S. Patent and Trademark Office Mail Stop Assignment Recordation Services P.O. Box 1450

Alexandria, VA 22313-1450

I hereby certify this correspondence is being deposited with the United States Postal Service as first class mail, postpaid in an envelope, addressed to: Director U.S. Patent and Trademark Office,, P.O. Box 1450, Alexandria, VA 22313-1450

on September 16, 2004

Signature

REQUEST FOR RECORDATION OF CHANGE OF CORPORATE NAME

Sir:

The assignee of the above-identified application has changed its corporate name in English from Freund Industrial Co., Ltd. to Freund Corporation. Enclosed herewith for recordation is a copy of a Notice of Corporate Name Change, the Commercial Register from the Tokyo Legal Affairs Bureau documenting the change of corporate name, and a translation of excerpts from the Commercial Register and Notice. Also enclosed is a Recordation Form Cover Sheet. A check for the required recordation fee of \$40.00 is also enclosed. Please record the enclosed documents accordingly.

Respectfully submitted,

Registration No.: 44,741

Attorney for Applicants

HOFFMANN & BARON, LLP 6900 Jericho Turnpike Syosset, New York 11791 (516) 822-3550 JFH/jp FORM PTO-1595 (Modified) (Rav. 03-01) DMB No. 0651-0027 (exp.5/31/2002) P08/REV03

> of the original document. James F. Harrington

> > Name of Person Signing



ECORDATION FORM COVER SHEET

U.S

Docket No.: 105-78

PATENTS ONLY

DEPARTMENT	OF	COM	MERC	E
Patent and	Trad	temar	k Offic	۰.

Tab settings → To the Director of the United States Patent and Trademark Office: Please record the attached original documents or copy thereof. 2. Name and address of receiving party(ies): Name of conveying party(ies): Freund Industrial Co., Ltd. Name: Freund Corporation Internal Address: 8-1, Nishishinjuku 6-chome, Shinjuku-ku ☐ Yes ☒ No Additional names(s) of conveying party(ies) Tokyo, Japan 3. Nature of conveyance: ☐ Assignment ☐ Merger Street Address: Security Agreement _____ State: ____ ZIP: __ ☐ Other Execution Date: May 26, 2004 4. Application number(s) or patent numbers(s): If this document is being filed together with a new application, the execution date of the application is: B. Patent No.(s) A. Patent Application No.(s) 10/721,555 Additional numbers attached? ☐ Yes ⊠ No 5. Name and address of party to whom correspondence 6. Total number of applications and patents involved: concerning document should be mailed: Name: Charles R. Hoffmann, Esq. 7. Total fee (37 CFR 3.41):....\$ 40.00 Internal Address: HOFFMANN & BARON, LLP Enclosed - Any excess or insufficiency should be credited or debited to deposit account Authorized to be charged to deposit account 8. Deposit account number: Street Address: 6900 Jericho Turnpike 08-2461 City: Syosset State: NY ZIP: 11791 (Attach duplicate copy of this page if paying by deposit account) DO NOT USE THIS SPACE 9. Statement and signature. To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy

Total number of pages including cover sheet, attachments, and document:

FORM PTO-1595 (Modified) [Rev. 03-01) DMB No. 0651-0027 (exp.5/31/2002) P08/REV03

RECORDATION FORM COVER SHEET

U.S. DEPARTMENT OF COMMERCE

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ENTS ONLY	Patent and Trademark Office

Docket No.: 105-78

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To the Director of the United States Patent and	Trademark Off	fice: Please rec	ord the attached original d	ocuments or copy	thereof.
1. Name of conveying party(ies): Freund Industrial Co., Ltd.		2. Name a	and address of receiving	party(ies):	•
•		Name:	Freund Corporation	•	
		Internal	Address: 8-1, Nishishin	ijuku	
Additional names(s) of conveying party(ies)	Yes ⊠ No		6-chome, Shi	njuku-ku	
3. Nature of conveyance:			Tokyo, Japai	a	7.
☐ Assignment ☐ Merger	•	Street A	Address:	•	·
☐ Security Agreement ☒ Change	e of Name				
Other	-	City:		State: ZI	P:
Execution Date: May 26, 2004		- Additional r	name(s) & address(es) atta	iched?	⊠ No
4. Application number(s) or patent numbers(s):					
If this document is being filed together with a ne	ew applicatio	n, the execution	: on date of the application	n is:	:
A. Patent Application No.(s)		В.	Patent No.(s)	· 	
10/721,555					•
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		,			
Additiona	al numbers atta	ached? 🔲 `	Yes 🗵 No	***	· · · · · · · · · · · · · · · · · · ·
Name and address of party to whom correspondence concerning document should be mailed:	ndence	6. Total nu	umber of applications an	d patents involve	ed: 1
Name: Charles R. Hoffmann, Esq.	·	7. Total fe	e (37 CFR 3.41):	\$ 40.00	
Internal Address: HOFFMANN & BARON, LI	LP		closed - Any excess or in dited or debited to depos	-	ld be
-			horized to be charged to	•	
Street Address: 6900 Jericho Turnpike		8. Deposit	account number:		
		08-2461			
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City: Syosset State: NY 2	ZIP: <u>11791</u>	(Attach di	uplicate copy of this page if pa	lying by deposit acco	ount)
0.00	DO NO	T USE THIS SPA	CE		
 Statement and signature. To the best of my knowledge and belief, the for of the original document. 	regoing inforr	mation is true	and correct and any atta	ched copy is a ti	ue copy
James F. Harrington	(Jasor	in Jo Han	mesta"	9-16-04	2
Name of Person Signing	1	Signatur	re /	Date	:
Total number of pages in	cluding cover:	9	· 9		



May 27, 2004

Notice of Change of name of company in English

At the 40th general meeting of company on May 26, 2004, the name of the company in English was changed as follows.

- New name of company in English
 Freund Corporation
 (Former name is Freund Industrial Co., Ltd.)
- Reason for change of name
 Unification of the name of the company and
 the names of subsidiary companies (Vector Corporation, VPS Corporation)
- 3. Date of Change May 26, 2004



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2005年2月期第1四半期業績の概況(連結)

IRサイトに掲載しております。

2004/4/23

自己株式の取得に関するお知らせ

2004年4月15日開催の取締役会において、商法第210条の規定に基づく自己株式の取得について、2004年5月催予定の当社第40回定時株主総会に付議することを決議いたしました。 詳細は「IR(投資家のみなさまへ)」をご覧下さい。

2004/5/27

英文表記変更に関するお知らせ

2004年5月26日開催の第40回定時株主総会において、社名の英文表記の変更が下記の通り、承認可決されてお知らせします。

1. 新しい英文表記

Freund Corporation (従前は、Freund Industrial Co., Ltd.)

2. 変更の理由

在外子会社(Vector Corporation、VPS Corporation)と社名の表示方法をあわせ、表示の統一化を図るす。

3: 変更日

平成16年5月26日

2004/5/20

2004国際食品工業展に出展します。

2004年6月8日(火)~11日(金)の4日間、東京ビッグサイトにて開催される2004国際食品工業展に出展しま

機械部門ではロータリー式流動層造粒乾燥装置、遠心転動造粒コーティング装置、シームレス・ミニカプセル 置、卓上型乾式造粒機、流動層造粒コーティング装置を展示、一部の機械については実演も行います。機能性 部門では水系コーティング基材、直打用澱粉、シームレス・ミニカプセル、直打用乳糖の展示を行います。



(Translation)

PARTIAL TRANSLATION OF EXCERPTS FROM COMMERCIAL REGISTER

(Page 1)

TRADE NAME: Freund Corporation

HEAD OFFICE: 14-2, Takadanobaba 2-chome, Shinjuku-ku, Tokyo June 1, 1975 Specified Address

8-1, Nishishinjuku 6-chome, Shinjuku-ku, Tokyo, Japan

January 26, 2004 Moved January 26, 2004 Registerd

(Page 6: the last five lines)

It is hereby certified that the above is a true copy of the Commercial Register.

June 22, 2004

Tokyo Legal Affairs Bureau

Registrar: Minoru Nemoto (Sealed)

履歷事項全部証明書

東京都新宿区西新宿六丁目8番1号 フロイント産業株式会社 会社法人等番号 0111-01-018760

			
商号	フロイント産業株式会社		
本店	東京都新宿区高田馬場二丁目14番2号	昭和50年表示実施	6月 1日住居
	·		
·	東京都新宿区西新宿六丁目8番1号	平成16年	1月26日移転
	,	平成16年	1月26日登記
公告をする方法	日本経済新聞に掲載する		
貸借対照表に係っ 情報の提供を受けるために必要ない。	t jp	平成15年	4月18日設定
項		平成15年	4月28日登記
会社成立の年月1	昭和39年4月22日		
目的	1. 製薬・食品化学用機械の製造並びに販売 2. 理科学医療機器の製造並びに販売 3. 一般医薬品、医薬部外品、毒物、劇物、動 ーティング剤等の製造販売並びに受託加工 4. 食品及び食品添加物の製造販売 5. 各種ゴム製品、プラスチックス製品、合成 6. 建築用材料の製造加工販売並びに工事施工 売並びに工事施工 7. 業務用家庭用電気機器、計器の製造並びに 8. 飼料の製造並びに販売 9. ビタミン、カルシウム、鉄分等の栄養素をの製造販売並びに受託加工販売 10. 農産物、樹木、草花等の種子の被覆、造粒11. イチョウ葉エキス、植物種子の焙煎物、乳販売並びに受託加工販売 12. 電気工事及び管工事の設計、施工、請負並び13. 機械器具設置工事の設計、施工、請負並び13. 機械器具設置工事の設計、施工、請負並び14. 粉塵の除去、微粉回収用装置の製造販売 15. 前各号に関連する輸出入業務 16. 前各号に関連する一切の業務 (1) 製薬・食品製造用・化学用機械の製造並びに、10 製薬・食品製造用・化学用機械の製造並びに販売 (2) 理科学医療機器の製造並びに販売 (3) 医薬品、医薬部外品、毒物、劇物、動物)剤・崩壊剤・コーティング剤等の医薬品加工販売	販売 塗料類の製造並 を料類の製造が用 販売 補給するための が菌菌・ が下で、 を監理監督 がに、 でに、 でに、 でに、 でに、 でに、 でに、 でに、 で	がに販売 材料の製造販 分栄養補助食品 助食品の製造 助食品の製造

整理番号 二62620:

下線のあるものは抹消事項であることを示す。

	(4) 医薬品製造受託及び仲介 (5)食品、食品品質保持剤及び食品添加物の (6)各種ゴム製品、プラスチックス製品、合 (7)建築用材料の製造加工販売並びに工事施 販売並びに工事施工 (8)業務用家庭用電気機器、計器の製造並び (9)飼料の製造並びに販売 (10)ビタミン、カルシウム、鉄分等の栄養素 品の製造販売並びに受託加工販売 (11)農産物、樹木、草花等の種子の焙煎物、売並びに受託加工販売 (12)イチョウ葉エキス、植物種子の焙煎物、売並びに受託加工販売 (13)電気工事及び管工事の設計、施工、請負並(14)機械器具設置工事の設計、施工、請負並(15)粉塵の除去、微粉回収用装置の製造販売(16)製薬・食品・化学等の開発研究、処方検(17)前各号に関連する輸出入業務 (18)前各号に関連する一切の業務	成塗料類の製造並びに販売 工及び宣伝装飾用材料の製造 に販売 を補給するための栄養補助食 粒加工販売 乳酸菌等の健康食品の製造販 並びに監理監督 びに監理監督 計等の受託
	平成14年 5月30日変更	平成14年 7月15日登記
額面株式1株の金 額	金50円	
一単元の株式の数	1000株	
	100株	平成13年 7月 2日変更
	•	平成13年 7月 2日登記
発行する株式の総数	1500万株	
発行済株式の総数 並びに種類及び数	発行済株式の総数 4 6 0 万株	
資本の額	金10億3560万円	
名義書換代理人の氏名及び住所並びに営業所	東京都中央区日本橋三丁目1番8号 日本信託銀行株式会社 東京都中央区日本橋三丁目1番8号 日本信託銀行株式会社 証券代行部	
	東京都千代田区永田町二丁目11番1号 三菱信託銀行株式会社 東京都千代田区永田町二丁目11番1号 三菱信託銀行株式会社 証券代行部 平成13年10月 1日変更	平成13年10月 1日登記

					
		三菱信託銀行 東京都千代E	田区丸の内一丁目 4 番 5 号 〒株式会社 田区丸の内一丁目 4 番 5 号 〒株式会社 証券代行部 平成 1 5 年 5 月 6 日変更	平成 1 5 年	5月14日登記
•	役員に関する事項	取締役	伏 島 靖 豊	平成11年	5月28日重任
				平成11年	 6月10日登記
	٠.	取締役	伏島 靖豊	平成13年	5月30日重任
				平成13年	6月15日登記
		取締役	伏 島 靖 豊	平成15年	5月27日重任
				平成15年	6月12日登記
		取締役	堀 哲 郎	平成11年	5月28日重任
				平成11年	6月10日登記
1		取締役	堀 哲 郎	平成13年	5月30日重任
				平成13年	6月15日登記
		取締役	堀 哲 郎	平成15年	5月27日重任
				平成15年	6月12日登記
	· ·	取締役	伊藤靖之	平成11年	5月28日重任
			· · · · · · · · · · · · · · · · · · ·	平成11年	6月10日登記
		•		平成13年	5月30日退任
				平成13年	6月15日登記
		取締役	髙 野 静 夫	平成11年	5月28日重任
				平成11年	6月10日登記
		取締役	高野静夫	平成13年	5月30日重任
				平成13年	6月15日登記
		取締役	髙 野 静 夫	平成15年	5月27日重任
				平成15年	6月12日登記

	取締役	<u>山</u>	田	次	<u>敏</u>				平成11年	5月28日重任
-									平成11年	6月10日登記
									平成13年	5月30日退任
									平成13年	6月15日登記
·	取締役	小	笠	原	利	近		•	平成11年	5月28日重任
									平成11年	6月10日登記
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•									平成13年	6月15日登記
	取締役	· 小	笠	原	利	近			平成15年	5月27日重任
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	•								平成13年	6月15日登記
	取締役	西	村	.修	基				平成15年	5月27日重任
									平成15年	6月12日登記
	取締役	具	志	堅	敬				平成13年	5月30日就任
		•							 平成13年	6月15日登記
.	取締役	具	志	堅	敬				平成15年	5月27日重任
									平成15年	6月12日登記
		取締役 取締役 取締役 取締役 取締役	取締役 小 取締役 小 取締役 西 取締役 西 取締役 西 取締役 西	取締役 小笠 取締役 小笠 取締役 西村 取締役 西村 取締役 基志	取締役 小笠原 取締役 小笠原 取締役 西村修 取締役 西村修 取締役 西村修 取締役 西村修	取締役 小笠原利 取締役 小笠原利 取締役 西村修基 取締役 西村修基 取締役 西村修基 取締役 西村修基 取締役 基	取締役 小笠原利近 取締役 小笠原利近 取締役 西村修基 取締役 西村修基 取締役 西村修基 取締役 西村修基 取締役 西村修基	取締役 小笠原利近 取締役 小笠原利近 取締役 西村修基 取締役 西村修基 取締役 西村修基 取締役 基	取締役 小笠原利近 取締役 小笠原利近 取締役 西村修基 取締役 西村修基 取締役 西村修基 取締役 西村修基 取締役 西村修基	取締役 小笠原利近 平成13年 取締役 小笠原利近 平成11年 取締役 小笠原利近 平成13年 取締役 小笠原利近 平成15年 取締役 西村修基 平成13年 取締役 西村修基 平成15年 取締役 西村修基 平成15年 取締役 西村修基 平成15年 取締役 具志堅敬 平成13年 平成13年 平成13年 平成13年 平成15年

	東京都文京区目白台二丁目9番3-905号 代表取締役 伏島 靖豊	平成11年 5月28日重任
	1 430-20分钟以 以 四 特 豆	平成11年 6月10日登記
-	東京都豊島区目白四丁目23番21号 代表取締役 伏島 靖豊	平成11年 6月20日住所 移転
·		平成11年 7月13日登記
	東京都豊島区目白四丁目23番21号 代表取締役 伏島靖豊	平成13年 5月30日重任
	八级44种区 八 田 相 豆	平成13年 6月15日登記
·	東京都豊島区目白四丁目23番21号 代表取締役 伏島 靖豊	平成15年 5月27日重任
		平成15年 6月12日登記
	大阪府箕面市半町一丁目14番9号 代表取締役 堀 哲 郎	平成15年 3月 1日就任
	<u> </u>	平成15年 3月 4日登記
	大阪府箕面市半町一丁目14番9号 代表取締役 堀 哲 郎	平成15年 5月27日重任
		平成15年 6月12日登記
· .	監査役 名 和 正 夫	平成10年 5月28日重任
	2	平成10年 6月11日登記
	監査役 名 和 正 夫	平成13年 5月30日重任
		平成13年 6月15日登記
		平成15年 5月27日辞任
		平成15年 6月12日登記
	監査役 宮崎富士夫	平成11年 5月28日重任
		平成11年 6月10日登記
	監査役 宮崎富士夫	平成14年 5月30日重任
		平成14年 7月15日登記

東京都新宿区西新宿六丁目8番1号 フロイント産業株式会社 会社法人等番号 0111-01-018760

	監査役	藤原英三郎	平成11年	5月28日重任
			平成11年	6月10日登記
			平成14年	5月30日退任
			平成14年	7月15日登記
·	監査役	中 田 博 文	平成12年	5月30日就任
			平成12年	6月14日登記
	監査役	中田博文	平成13年	5月3.0日重任
			平成13年	6月15日登記
·	監査役	中田博文	平成.16年	5月26日重任
			平成16年	6月 1日登記
	監査役	藤 田 昌 由	平成14年	5月30日就任
Å			平成14年	7月15日登記
·}	監査役	伊 東 成 芳	平成15年	5月27日就任
			平成15年	6月12日登記
	監査役	伊東成芳	平成16年	5月26日重任
			平成16年	6月 1日登記
登記記録に関する 事項	平成元年法務	省令第15号附則第3項の規定により		2月20日移記

これは登記簿に記録されている閉鎖されていない事項の全部であることを証明した書面である。

平成16年 6月22日 東京法務局新宿出張所 登記官

根本



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